

Technology Overview



DOLSolutions

DOL Solutions'AdvisorAccess application is the "backbone" app that enables easy management of web-based sales platforms, advisor websites, and rich media content. The application features powerful software that enables advisors to create an income plan that is personalized for each client.

WealthLadders
"Safety First in Retirement"
Longevity
The Emperor of All Retirement Risks

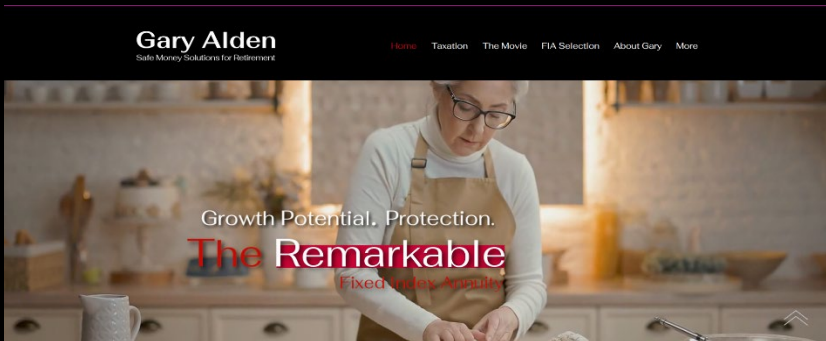


AdvisorAccess manages consumer-facing marketing components on a per-agent level. The application also manages user information, activity reporting, compliance queues, broker-dealer disclosures, State-mandated agent licensing disclosures and client information. AdvisorAccess manages the complex and diverse business rule requirements of multiple broker-dealers (or wholesaling organizations). Presently, it provides thousands of financial advisors with a single point of access for all the deliverables that comprise Wealth2k's retirement income planning platforms including The Income for Life Model®, plus Women&Income and NextPhase®.

DAS
Digital-Assisted Selling™

AdvisorAccess makes it possible for Wealth2k to offer fixed annuity laddering strategies such as Wealth Ladders. All the information displayed to users is role-

based. The application uses web services to integrate with Pershing, Albridge, Osaic and other platforms. The system is hosted by AWS ECS Windows Servers for the frontend and AWS RDS Microsoft SQL for the database. Our AWS environment hosts development, testing and production environments in separate VPCs. The server hardware and software



licensing are managed by AWS. System backups, downloads, and archives are stored in S3. Software installation, software updates, patch updates and configuration changes are performed by Wealth2k consultant developers.

The Advisor Access portal is hosted on Apache, Tomcat, Java, and JavaScript. The reports are generated through Crystal Reports. As the platform has been



extended, we have added AWS Lambda functions. The database is Microsoft SQL Server. Wealth2k consultant developers are responsible for administering the database. In addition to the agent portal, advisors also receive personalized websites (the Learning Centers) for marketing. These websites are constantly being improved by new templates, designs, and hosting techniques. These sites are customized and controlled in an extremely limited capacity by the advisor consistent with broker-dealer business rules, if applicable. The site templates, web services, and overall site copy are controlled by Wealth2k. Wealth2k conforms to rigorous data security requirements as defined by our enterprise clients including Pershing/BNY Melon, Albridge and Osaic.